

NGH Whirlpool Controller
(WX308)

(06-03)

Approved for use through 11/30/2005. OMB 0651-0035

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INDICATION FORM**

Application Number	
Filing Date	
First Named Inventor	Ronald A. Bauer
Title	Distributed Control System for . .
Art Unit	
Examiner Name	
Attorney Docket Number	550299.92787

I hereby appoint:

☒ Practitioners at Customer Number:

26710

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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I am the:

☐ Applicant/Inventor.☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name Natalie A. Black

Signature *Natalie A. Black*

Date September 15, 2004

Telephone (920) 457-4441

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ *Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ronald A. Bauer, et al.

Application No./Patent No.: _____ Filed/Issue Date: _____

Entitled: Distributed Control System for a Whirlpool Tub

Kohler Co., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

September 15, 2004
Date

Natalie A. Black

Typed or printed name

Natalie A. Black
Signature

Secretary

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ASSIGNMENT

Whereas, I, Ronald A. Bauer of Belgium, Wisconsin have made certain inventions which are described in an application for letters patent of the united states entitled DISTRIBUTED CONTROL SYSTEM FOR A WHIRLPOOL TUB filed on April 8, 2004 and assigned application number 10/820,894; and

WHEREAS, Kohler Co. (hereinafter referred to as said Company), a Wisconsin corporation having a place of business at 444 Highland Drive, Kohler Wisconsin is desirous of acquiring the entire interest in said inventions throughout the United States of America and the territories thereof, for all other countries and under all international agreements,

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign and transfer unto said Company, its successors and assigns, the entire right, title and interest throughout the United States of America and the territories thereof, for all foreign countries and under all international agreements in and to said inventions, the aforesaid application, all other applications hereafter filed in the United States, in any other country, or under any international agreement based in whole or in part on said inventions, and all Letters Patents granted upon said applications by the United States, by any other country or under any international agreement, and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Company. I further grant to said Company, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries or between any other countries.

I hereby warrant that I have the full right to make the conveyance herein, and I hereby covenant that I, my heirs, legal representatives and assigns, will when requested, communicate to said Company, its representatives, successors and assigns, all facts known respecting said inventions, execute all divisional, continuing, reissue, reexamination and foreign or international applications, together with assignments therefor, make all rightful oaths, sign all lawful papers, testify in any legal proceeding and generally do everything possible to aid said Company, its successors and assigns, in the obtaining of Letters Patent.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal at the location and on the date indicated with my signature.

Date: 10/4/04

Ronald A. Bauer (SEAL)
Ronald A. Bauer

STATE OF WISCONSIN)
) SS
COUNTY OF SHERBOGAN)

Before me on this 4TH day of OCTOBER, 2004, came Ronald A. Bauer, to me known to be the person named in the foregoing assignment and he acknowledges his execution thereof to be his free act and deed.

(SEAL)

Christel R. Goese
Notary Public,
State of Wisconsin
My Commission expires 5.4.08

ASSIGNMENT

Whereas, I, Richard J. Hackl of Milwaukee, Wisconsin have made certain inventions which are described in an application for letters patent of the United States entitled DISTRIBUTED CONTROL SYSTEM FOR A WHIRLPOOL TUB filed on April 8, 2004 and assigned application number 10/820,894; and

WHEREAS, Kohler Co. (hereinafter referred to as said Company), a Wisconsin corporation having a place of business at 444 Highland Drive, Kohler Wisconsin is desirous of acquiring the entire interest in said inventions throughout the United States of America and the territories thereof, for all other countries and under all international agreements,

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, receipt whereof is hereby acknowledged, I hereby sell, assign and transfer unto said Company, its successors and assigns, the entire right, title and interest throughout the United States of America and the territories thereof, for all foreign countries and under all international agreements in and to said inventions, the aforesaid application, all other applications hereafter filed in the United States, in any other country, or under any international agreement based in whole or in part on said inventions, and all Letters Patents granted upon said applications by the United States, by any other country or under any international agreement, and I do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said Company. I further grant to said Company, its successors and assigns, the right to claim for any of said applications the full benefits and priority rights of any international agreement between the United States and any foreign country or countries or between any other countries.

I hereby warrant that I have the full right to make the conveyance herein, and I hereby covenant that I, my heirs, legal representatives and assigns, will when requested, communicate to said Company, its representatives, successors and assigns, all facts known respecting said inventions, execute all divisional, continuing, reissue, reexamination and foreign or international applications, together with assignments therefor, make all rightful oaths, sign all lawful papers, testify in any legal proceeding and generally do everything possible to aid said Company, its successors and assigns, in the obtaining of Letters Patent.

IN TESTIMONY WHEREOF, I have hereunto set my hand and seal at the location and on the date indicated with my signature.

Date: 9/15/04

Richard J. Hackl (SEAL)
Richard J. Hackl

STATE OF WISCONSIN)
) SS
COUNTY OF Milwaukee)

Before me on this 15th day of September, 2004, came Richard J. Hackl, to me known to be the person named in the foregoing assignment and he acknowledges his execution thereof to be his free act and deed.

(SEAL)

Christina Emmrich
Notary Public,
State of Wisconsin
My Commission expires 5-21-06